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Express Mail No.: EL 452 482 701 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Dilip Gajendragadkar

Serial No. 10/076,315

Filed: February 13, 2002

For: *System and Method of Creating and
Executing A Restricted Stock Sale
Plan*

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OFFICE OF PETITIONS

Attorney Docket No. 10320-0007-999

Date: March 25, 2002

PETITION TO CORRECT FILING DATE UNDER 37 C.F.R. § 1.10(c)

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

Applicant hereby petitions that the filing date of the above-identified patent application be corrected to February 13, 2002.

Pursuant to 37 C.F.R. § 1.10, the above-identified patent application was filed with an "Express Mail" mailing label and deposited with the United States Postal Service "Express Mail Post Office to Addressee" on February 13, 2002. Applicant encloses herewith copies of the following documents that evidence that the above-identified patent application was properly filed under 37 C.F.R. § 1.10 on February 13, 2002:

- (i) a United States Post Office Express Mail label bearing February 13, 2002 as the "date in", the Express Mail number EL 452 482 366 US, which corresponds to a

February 13, 2002 filing date, and a date stamp of February 13, 2002 by the United States Post Office;

- (ii) a return post card for the above-identified patent application bearing the Express Mail number EL 452 482 366 US, February 13, 2002 as the "date mailed", and an erroneous date stamp of February 23, 2002 by the United States Patent and Trademark Office; and
- (iii) the Utility Transmittal Sheet for the above-identified patent application bearing the Express Mail Number EL 452 482 366 US.

I hereby state that the attached documents (i) through (iii) are true copies of the original Express Mail mailing label, return postcard receipt, originally mailed correspondence, and official notation entered by the United States Postal Service.

Since this error is on the part of the Patent Office, it is believed that no additional fee is required. If however, a fee is necessary, please charge the necessary fee to Pennie & Edmonds LLP deposit account no. 16-1150.

Respectfully submitted,



Wilfred H. Lam Reg. No. 41,923

For David Weild III, Reg. No. 21094
PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA 94304
(650) 493-4935



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Express Mail No.: EL 452 482 366 US

Date Mailed: February 13, 2002

Serial Number: To be assigned

Filing Date: Herewith

Inventor: Dilip Gajendragadkar

For: **SYSTEM AND METHOD OF CREATING AND EXECUTING A RESTRICTED STOCK SALE PLAN**

CCA

Enclosed:

- (✓) Application (67 pages)
- (✓) 28 sheets of drawings for Figs. 1-13
- (✓) Assignment (executed)
- (✓) Recordation Cover Sheet (dupl)
- (✓) Power of Attorney by Assignee (executed)
- (✓) Utility Transmittal Sheet
- (✓) Fee Sheet (dupl)
- (✓) Declaration (executed)
- (✓) Return receipt postcard



File No. 10320-007-999

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| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. | 10320-007-999 | Total Pages | |
| | First Named Inventor or Application Identifier | | | |
| | Dilip Gajendragadkar | | | |
| | Express Mail Label No. | EL 452 482 366 US | | |

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|--|---|
| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
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1. ☒ Fee Transmittal Form
Submit an original, and a duplicate for fee processing
2. ☒ Specification [Total Pages 67]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description of the Invention *(including drawings, if filed)*
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 28]
4. ☒ Oath or Declaration [Total Sheets 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTORS(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy *(identical to computer copy)*
 - c. ☐ Statement verifying identity of above copies

| |
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| ACCOMPANYING APPLICATION PARTS |
| <ol style="list-style-type: none">8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations12. <input type="checkbox"/> Preliminary Amendment13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>14. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.2715. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>16. <input type="checkbox"/> Other: |

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed .

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